



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: Andrew Hausman

Application No.: 09/584,045

Confirmation No. 9896

Filed: May 30, 2000

For: ELECTRONIC TRADING SYSTEM FOR ELECTRICITY  
FORWARDS

Examiner: Sandra S. Snapp

Group Art Unit: 3624

Hon. Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

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**FOURTH INFORMATION DISCLOSURE STATEMENT** GROUP 3600

Sir:

Applicant respectfully submits this Information Disclosure Statement pursuant to 37 C.F.R. §§ 1.97 and 1.98. Enclosed are an Information Disclosure Citation List, and a copy of each document listed therein. The Examiner is requested to consider the enclosed documents, make them of record, and indicate her consideration of the documents by initialing the enclosed Citation List adjacent the citation of each document. Please return a copy of the initialed Citation List to Applicant's undersigned attorney.

Please charge the fee of \$180 required by 37 C.F.R. §§ 1.97(c) & 1.17(p) to Deposit Account 02-4270. Please charge any additional fee required to continue prosecution of this application to Deposit Account 02-4270.

Dated: March 31, 2004

Respectfully submitted,

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<b>INFORMATION DISCLOSURE CITATION LIST</b>	<b>Atty. Docket No.:</b> 3524/14	<b>Application No.:</b> 09/584,045
	<b>Applicants:</b> Hausman	
	<b>Filing Date:</b> May 30, 2000	<b>Group Art Unit:</b> 2164

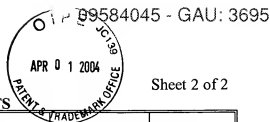
**U.S. PATENT DOCUMENTS**

Exam. Init	Document Number	Date	Name	Class	Subclass	Filing Date
/K.R./	5,832,462	11/3/98	Midorikawa et al.			
	5,842,178	11/24/98	Giovannoli			
	5,924,083	7/13/99	Silverman et al.			
	6,260,025	7/10/01	Silverman et al.			
	6,282,521	8/28/01	Howorka			
	6,317,727	11/13/01	May			
	6,343,278	1/29/02	Jain et al.			
	6,347,307	2/12/02	Sandhu et al.			
	6,421,653	7/16/02	May			
	2001/0037284	11/1/01	Finkelstein et al.			
	2002/0099651	7/25/02	May			
	2002/0082976	6/27/02	Howorka			
	2002/0099647	7/25/02	Howorka			
	2002/0107781	8/8/02	Neyman et al.			
	2002/0116314	8/22/02	Spencer et al.			
/K.R./	2002/0116317	8/22/02	May			

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## FOREIGN PATENT DOCUMENTS

Exam Init.	Document Number	Date	Country	Class	Translation	Filing Date
/K.R./	WO 97/22072	6/19/97	PCT			
↓	WO 97/45802	12/4/97	PCT			
/K.R./	WO 99/19821	4/22/99	PCT			

## OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)

/K.R./		Dealing 200-2, Definitions and Rule Book, Version 6.2 (Reuters Limited, London) 1994
↓		Tafex: Transamerica Foreign Exchange, Tafex Systems Corporation (New York)
/K.R./		Chicago Mercantile Exchange's Proposed Globex Trading System, Division of Trading and Markets, February 2, 1989

Examiner: /Kito Robinson/	Date Considered: /Kito Robinson/
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